

Stock Certificate Third Party Release

Instructions

- **Use this form whenever a certificate(s) is registered in a name other than that of the brokerage account.**
- This form must be fully completed by the registered holder(s) named on the certificate.
- Complete all sections of this form (Sections 1-3).
- Attach this form to the certificate(s) and mail it directly to Pershing at:
 Pershing LLC
 One Pershing Plaza
 Jersey City, NJ 07399
 Attn: Securities Received, 7th Floor (for retail accounts)
 Attn: Retirement Accounts (for retirement accounts with Pershing LLC or STC as custodian)

1. Client and Registered Representative Information

Client Name (on Pershing brokerage account)

Account Number

Registered Representative Name and Number

Social Security Number

Date

2. Certification

The undersigned hands you herewith the following certificate(s):

Certificate Number	Number of Shares	Issue

All of which are in (my/our) name(s) and duly endorsed by (me/us), which the undersigned requests that you place in the account of:

Client Name on Pershing brokerage account

who is the true and lawful owner of the securities represented by said certificate(s) and whom you may treat as the owner thereof and of any income and benefits therefrom, and of any resulting from any sale thereof. The undersigned represents that (he/she/they) (is/are) of lawful age and in all respects legally competent.

3. Signatures

 Releasing Signature

 Date of Signature

 Releasing Signature

 Date of Signature

 Releasing Name (Please Print)

 Releasing Name (Please Print)